Concept Environmental and Social Review Summary
Concept Stage
(ESRS Concept Stage)

Date Prepared/Updated: 07/08/2019 | Report No: ESRSC00673
BASIC INFORMATION

A. Basic Project Data

<table>
<thead>
<tr>
<th>Country</th>
<th>Region</th>
<th>Project ID</th>
<th>Parent Project ID (if any)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Somalia</td>
<td>AFRICA</td>
<td>P171160</td>
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</tbody>
</table>

Project Name: Somali Integrated Statistics and Economic Planning Capacity Building

<table>
<thead>
<tr>
<th>Practice Area (Lead)</th>
<th>Financing Instrument</th>
<th>Estimated Appraisal Date</th>
<th>Estimated Board Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Poverty and Equity</td>
<td>Investment Project Financing</td>
<td>9/9/2019</td>
<td>12/18/2019</td>
</tr>
</tbody>
</table>

Borrower(s): Somalia

Implementing Agency(ies): Ministry of Planning, Investment & Economic Development

Proposed Development Objective(s)
To strengthen the governance and capacity of the National Planning, Statistical and M&E Systems to fill statistical data gaps; design and implement the NDP/IPRSP; and regularly assess, analyze and improve their public policies performance and results.

Financing (in USD Million)

<table>
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<tr>
<th>Amount</th>
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<tbody>
<tr>
<td>Total Project Cost</td>
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B. Is the project being prepared in a Situation of Urgent Need of Assistance or Capacity Constraints, as per Bank IPF Policy, para. 12?
No

C. Summary Description of Proposed Project [including overview of Country, Sectoral & Institutional Contexts and Relationship to CPF]
- The project has four components: (1) A policy analysis and planning component, (2) A statistical system component, (3) A monitoring and evaluation component, and (4) A project management component to oversee and supervise the activities covered in the component 1-3. The World Bank’s support is planned for five years (2019-2023). The estimated cost for the project is US$25 million to be provided through grants from a Multi-Donor Trust Fund.
• Integrated approach: Given the capacity constraints faced by FGS and MOPIED, an integrated approach that combines capacity building of both statistics and economic policy analysis and planning is important to reduce transaction costs and, at the same time, to maximize synergy between the two areas—in terms of enhancing evidence-based policymaking and planning (from statistics to economic policy analysis and planning) and developing areas for improvements in the national statistical system in formulating economic policies (from economic policy analysis and planning to statistics).

• Series of Projects (SOP) approach: The proposed project would be the first of several aimed at developing Somalia’s statistical and planning systems. A Series of Projects (SOP) is warranted because the needs are too great to be addressed in the 3–5 year timeframe of a single project, the learning that comes through an incremental and phased approach will result in better project outcomes, and because it would allow projects to adapt to rapidly changing technologies for data collection, analysis, and dissemination (e.g., remote sensing, big data analytics, use of non-administrative data, blockchain, etc.).

• Project focus: As SOP-1, this project will support: (i) key time-sensitive activities of FGS to fill critical gaps in statistics and economic policy analysis/planning relating to the HIPC process; and (ii) activities to initiate longer-term (phased) capacity building programs.

• Complementarity and coordination: The proposed project complements ongoing TA provided by the African Development Bank, IMF, Statistics Sweden, UN agencies, and other partners.

D. Environmental and Social Overview

D.1. Project location(s) and salient characteristics relevant to the ES assessment [geographic, environmental, social]
The project’s statistical capacity building coverage is country-wide. There are no salient physical characteristics more specific to this project.

D. 2. Borrower’s Institutional Capacity
The Client’s institutional capacity is nascent across the board—in terms of statistical capacity building, as well as in terms of environmental and social risk impact assessment, management, and monitoring.

II. SCREENING OF POTENTIAL ENVIRONMENTAL AND SOCIAL (ES) RISKS AND IMPACTS

A. Environmental and Social Risk Classification (ESRC) Moderate

Environmental Risk Rating Low
The environmental risk rating at the time of project concept is Low as no civil works are anticipated in this project. Thus, no environmental study or assessment will be needed. If project activities change at any point forward, to include repair or rehabilitation of existing offices, this rating will need to be reviewed and possible environmental risk management and mitigation actions added.

Social Risk Rating Moderate
The social risk rating at the time of project concept is Moderate as the project will involve fieldwork for the collection of data and will cover a number of groups including nomads and internally displaced persons. Considering the country context, there is risk of the data collection activities, particularly household surveys, to leave out the much needed
disaggregated data of this category of people. Data collection may also not be gender informed considering the country risk context so project documentation should cater for data collection, analysis and/or consultation on gender related issues.

There will also be ESS2 concerns, as the client will hire enumerators for the data collection.

**B. Environment and Social Standards (ESSs) that Apply to the Activities Being Considered**

**B.1. General Assessment**

**ESS1 Assessment and Management of Environmental and Social Risks and Impacts**

*Overview of the relevance of the Standard for the Project:*

The project may finance the purchase of IT equipment, including desktops, laptops, and tablets. As currently designed, the project has no intent to finance civil works, including repair or rehabilitation of offices. The project could have positive impacts (through collection of data to inform Government) on vulnerable groups and reduction in discrimination by helping to capture data and focus Government attention on vulnerable groups and indigenous peoples and address exclusion or discrimination. All potential E&S impacts will be assessed under ESS1, including risks of exclusion, GBV and labor management. Stakeholder Engagement Plan and Labor Management Procedures are the E&S instruments that will be prepared by the client before appraisal. To ensure full inclusion of vulnerable groups in the project area, project data collection, documentation and analysis should cater for coverage of disadvantaged and vulnerable households. To mitigate against fieldwork exclusion of some administrative areas due to partial or complete deterioration of security a flexible sample design that will allow inclusion and exclusion of specific areas from the sample depending on a security assessment will be used by the implementing agency. Incidence of GBV in Somalia is a significant contextual challenge. Relevant mitigation measures to address these risks (e.g., integrating Codes of Conduct with SEA/GBV-related protections with work contractors) will be assessed in alignment with identified risk especially around recruitment, fieldwork activities, security arrangements and working conditions.

**Areas where “Use of Borrower Framework” is being considered:**

Use of borrower framework is not being considered.

**ESS10 Stakeholder Engagement and Information Disclosure**

Key stakeholders include project-affected communities, local residents, NGOs, donors, other development partners, as well as state authorities. While the project will have no adverse E&S impacts, the identification and outreach to stakeholders would be expected to be an important contribution to the project design. A stakeholder identification, mapping and characterization would be an important tool to understand the scope of potential beneficiaries, as well as the influence, attitude and interests of key stakeholders. The presence of disadvantaged and vulnerable groups will also be considered to ensure non exclusion and equal access to project benefits. The methodology and approach taken to data collection, analysis and storage will need to be clearly communicated to all relevant stakeholders. Data collection and questionnaires will be prepared and administered in consideration of cultural sensitivities and norms. A Stakeholder Engagement Plan (SEP), commensurate with project risks, will be prepared and disclosed prior to appraisal.

**B.2. Specific Risks and Impacts**
A brief description of the potential environmental and social risks and impacts relevant to the Project.

ESS2 Labor and Working Conditions

ESS2 will be applicable to this project as the client will hire enumerators for data collection. Potential risks are those related to labor and working conditions, such as work-related discrimination, GBV and OHS and security risks. The client will assess and address this by developing recruitment guidelines procedures and appropriate OHS measures and guidelines for fieldwork assignments. The client will prepare an LMP and relevant mitigation measures to address such risks will be incorporated into procurement documents. The LMP will include an estimate of the project workers (enumerators and others) expected to be involved in the project and a well-functioning and easily accessible grievance mechanism for project workers.

ESS3 Resource Efficiency and Pollution Prevention and Management

Use of natural resources, generation of emissions and waste, and significant GHG production are not anticipated.

ESS4 Community Health and Safety

Provision of information by the community may present some minor issues in terms of data confidentiality. This will be assessed as part of the ESA process but is expected to be readily manageable within the methodology being developed for data collection, storage, management and processing. Security of enumerators may be an aspect to emphasize in project design and follow up with the Borrowers. Fieldwork data collection activities may require use of armed security escorts and it is important they are provided with proper training in the use of force and appropriate conduct towards workers and the community. Project activities will not affect ecosystem services.

Gender-Based Violence (GBV) risks under the project are anticipated to be low. While contextual risk of GBV is high given existing pervasive rates of GBV and the legacy of conflict, displacement and recurring climate-related shocks, which have been known to exacerbate GBV incidence, it is not anticipated that project activities will contribute to or worsen existing risks; the number of enumerators entering a given community to undertake survey work will be likely low, with limited impact on community or household dynamics. Relevant mitigation measures to address these risks (e.g., integrating Codes of Conduct with Sexual Exploitation and Abuse (SEA)/GBV-related protections with enumerators, identification of potential GBV service providers, development of a GBV-sensitive GRM) will be assessed in alignment with identified risk particularly as related to recruitment, fieldwork activities, security arrangements, conduct towards to community and working condition.

ESS5 Land Acquisition, Restrictions on Land Use and Involuntary Resettlement

No land impacts are anticipated and involuntary land acquisition is not anticipated.

ESS6 Biodiversity Conservation and Sustainable Management of Living Natural Resources

The project will impact neither biodiversity nor living natural resources.

ESS7 Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities
ESS7 is not relevant to the project, as the people in the project area are not considered as Indigenous Peoples as defined under ESS7.

ESS8 Cultural Heritage
The project will not impact physical or intangible cultural resources.

ESS9 Financial Intermediaries
The project will not include any financial intermediaries.

C. Legal Operational Policies that Apply

<table>
<thead>
<tr>
<th>OP 7.50 Projects on International Waterways</th>
<th>No</th>
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<tbody>
<tr>
<td>This policy is not applicable due to the nature of the project.</td>
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<table>
<thead>
<tr>
<th>OP 7.60 Projects in Disputed Areas</th>
<th>No</th>
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<tbody>
<tr>
<td>This policy is not applicable.</td>
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III. WORLD BANK ENVIRONMENTAL AND SOCIAL DUE DILIGENCE

A. Is a common approach being considered? No

Financing Partners
While the project will be fully funded through grants, such partners have agreed that the ESF will apply to the project. Thus, no “common approach” is considered as defined in ESF (in which financing partners agree on an approach to ES assessment and management of the project that is different from the ESF).

B. Proposed Measures, Actions and Timing (Borrower’s commitments)

Actions to be completed prior to Bank Board Approval:
Incorporation of social and environmental issue considerations (inclusion, vulnerable people, etc.) in survey design and sampling. Prior to appraisal, a SEP will be prepared to the satisfaction of the Bank. A Labor Management Plan (LMP) may be required depending on the timescale of recruitment of staff or contractors.

Possible issues to be addressed in the Borrower Environmental and Social Commitment Plan (ESCP):
The TOR for survey design, sampling, analysis to incorporate social and environment issue considerations (inclusion, vulnerable people, indigenous peoples etc.) to be approved by Bank E&S team; the LMP may be a requirement of the ESCP to be implemented prior to the recruitment of staff; project activities implemented in line with ESF requirements; and inclusion of E&S considerations in project reporting.

C. Timing
IV. CONTACT POINTS

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Borrower/Client/Recipient
Borrower: Somalia

Implementing Agency(ies)
Implementing Agency: Ministry of Planning, Investment & Economic Development

V. FOR MORE INFORMATION CONTACT

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VI. APPROVAL

Task Team Leader(s): John Randa, R. Wendy Karamba
Practice Manager (ENR/Social) Robin Mearns Recommended on 25-Jun-2019 at 17:44:23 EDT
Safeguards Advisor ESSA Nathalie S. Munzberg (SAESSA) Cleared on 08-Jul-2019 at 09:51:11 EDT