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INTEGRATED SAFEGUARDS DATASHEET

TF.No.: TF015863
Date prepared/updated: March 6, 2014

I. Basic Information

1. Basic Project Data

Country: THE PHILIPPINES	Project ID: P147890		
	Additional Project ID *(if any)*:		
Project Name: GUARDING THE INTEGRITY OF THE CONDITIONAL CASH TRANSFER PROGRAM PROJECT			
Task Team Leader: Josef Trommer			
Estimated Appraisal Date: 11/13/2013	Estimated Board Date: n/a		
Managing Unit: WBIGA	Lending Instrument: Small RETF		
Sector: Social Protection (50%) and Social Development (50%)			
Theme: Social Development/Gender/Inclusion			
IBRD Amount (US$m.): IDA Amount (US$m.): GEF Amount (US$m.): PCF Amount (US$m.): Other financing amounts by source: GPSA Grant **US$800,000**			
Environmental Category: C – Not required			
Simplified Processing	Simple [X]	Repeater [ ]	
Is this project processed under OP 8.00 (Rapid Response to Crises and Emergencies)		Yes [ ]	No [X]

2. Project Objectives:

The Project's development objective (PDO) is to advance a model for civil society-government partnership for transparent and accountable implementation of Pantawid Pamilyang Pilipino Program (4P).

3. Project Description:

Component 1: Third Party Monitoring (TPM) of 4Ps and Project Management
This component aims to develop a model for sustained and systematic third party monitoring (TPM) of the 4Ps implementation. The TPM would develop innovative 'accountability mechanism' into the 4Ps processes in the form of a CSO-initiated, action-oriented monitoring by volunteer monitors at the community level. It will not replace current independent "spot checks"; instead it will introduce participatory verification of the targeting, compliance verification, payment, and grievance redress at community level at a more regular and frequent

basis to allow immediate resolution that could be undertaken at the local level. The major output of this component is the formulation and production of a Handbook on Third Party Monitoring of 4Ps that can be used by other CSOs in other parts of the country.

The specific focus of the monitoring will be determined based on the review of the prevailing issues and gaps indicated in the results of the existing verification system and grievance redress system of 4Ps. Beneficiary complaints and other implementation problems will be documented and analyzed using social accountability (SA) tools such as community scorecard and public hearing. TPM will also develop a system for monitoring the status of actions taken and feedback mechanisms to the community and beneficiaries. Regular feedback sessions and reports will be prepared and presented in a dialogue with DSWD for possible programmatic and policy adjustments. Policy Dialogue at national or regional level will also be conducted to discuss issues/concerns which require policy decisions/adaptation.

This component will also involve the overall project management of the whole grant under the leadership of the CCAGG. This will support the establishment and maintenance of the inter-agency Project Steering Committee that will provide overall policy direction; monitoring of project progress; convening of the regular meetings with partner CSOs and LGUs; regular conduct of field monitoring; and preparation of reports.

Component 2: Mobilizing Household Beneficiaries to engage in Applied Social Accountability at the Community Level.

The conduct of the Family Development Sessions (FDS) is an innovation of the CCT in the Philippines, not found in other CCT programs in other parts of the world. This is the empowerment component of the program, which deals with family and community relationships as well as citizenship building. The DSWD has a manual with 49 FDS sessions and they have conducted CSO training to capacitate them in conducting these modules. The RECITE, which will be the lead partner for implementing the FDS, with funding assistance from the Asian Development Bank (ADB) and PTF/AusAID has pilot-tested enhanced FDS modules that develops the Parent Leaders (PL) to practice social accountability as community-based volunteer monitors in the CSO-led TPM of 4Ps implementation. Thus project will enhance the FDS as a long-term engagement for a cumulative development of the Parent Leader to be a community leader that would assist the 4Ps grantees to engage in active citizenship and, as a group, forge a social contract with barangay officials to advance their interests. The existing training program for parent leaders will be taken into consideration in designing enhancements and/or additional capacity building programs for parent leaders. As appropriate the design and implementation of this component will be harmonized or synergized with the DSWD's community-driven development project (i.e., KALAHI-CIDSS Project). The major output of this component is an enhanced field manual for parent leaders and the training of parent leaders in the 30 municipalities covered by 4Ps.

Component 3: Building the Capacity of CSO members of the NLCGG.

This component will ensure the dissemination and adoption by all partner CSOs of the NLCGG of the (a) common FDS training curriculum which was developed under Component 2, (b) common TPM procedures and tools under Component 1, and (c) common menu of SA tools for use in the project. Partner CSOs will be trained in the proper use, analyses and interpretation of

SA tools and results. Most of these will be documented as knowledge and learning products under Component 4.

Component 4: Knowledge and Learning.
This component will involve the consolidation of K&L products to be developed in Components 1 to 3. The K&L Plan will focus on five core objectives: (a) enhancing CSO partners knowledge of global good practices for improving CCT social accountability; (b) extracting best lessons from project implementation experiences using a variety of tools such as case studies; (c) sharing of experience within the direct project environment and with CSOs elsewhere in the Philippines implementing the 4Ps Program through peer learning, workshops, and publication and dissemination of K&L products; (d) exchange of experiences with the global community of SA practitioners, particularly with countries in the Latin American and Caribbean region with more mature CCT programs experiences; and (e) providing technology and technical assistance to upgrade existing capabilities of CCAGG and RECITE to undertake K&L activities.

By design, the GPSA is a global laboratory for distilling, refining, documenting and exchanging good practices and lessons learned that bring solutions to common problems in social protection program implementation. Thus the Project will create a web-based K&L Portal that is connected to the GPSA K&L Portal to create the platform for this global exchange. Further, the Project will endeavor to promote country-to-country or even government-to-government dialogue and exchange on CCT implementation.

4. Project Location and salient physical characteristics relevant to the safeguard analysis:

Sub-National; 35 local government units in Northern Luzon covering the Cordillera Autonomous Regions, Region 1 and Region 2 consisting of 15 provinces, namely: CAR – Abra, Apayao, Kalinga and Mountain Province; Region 1 – Benguet, Ifugao, Ilocos Norte, Ilocos Sur, La Union, and Pangasinan; Region 2 – Batanes, Isabela, Nueva Vizcaya, and Quirino.

5. Environmental and Social Safeguards Specialists on the Team:

6. Safeguard Policies Triggered *(please explain why)*	Yes	No
Environmental Assessment (OP/BP 4.01)		X
Natural Habitats (OP/BP 4.04)		X
Forests (OP/BP 4.36)		X
Pest Management (OP 4.09)		X
Physical Cultural Resources (OP/BP 4.11)		X
Indigenous Peoples (OP/BP 4.10)	X	
Involuntary Resettlement (OP/BP 4.12)		X
Safety of Dams (OP/BP 4.37)		X
Projects on International Waterways (OP/BP 7.50)		X
Projects in Disputed Areas (OP/BP 7.60)		X

II. Key Safeguard Policy Issues and Their Management

A. Summary of Key Safeguard Issues

1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:
The Project will not support any physical investments or civil works and we do not anticipate any environmental impacts. Therefore the Project is assigned an environmental category C, requiring no further assessment. The Project, however, is likely to benefit Indigenous Peoples (IPs) directly or indirectly, particularly in the Cordillera Administrative Region (triggering OP 4.10). It will do so by providing participatory social accountability mechanisms of the conditional cash transfer (CCT) program, which targets poor areas where IPs may be present. I In addition, the Project is expected to offer avenues to enhance the responsiveness of the CCT Program to indigenous realities.

2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:
Long term impacts on the IPs are positive.

3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts:
N/A

4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described:
It was agreed that CCAGG (the grantee) will include the elements of the IPP (Indigenous Peoples Plan) in overall project design. More specifically, the project will provide for FPIC (Free Prior Informed Consultation) , with the resulting recommendations integrated in the project design to ensure broad community support and provision of culturally acceptable benefits to the IPs. CCAGG has positive track record working with IPs in the areas targeted by the Project.

5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people:
The Project's direct beneficiaries are the same 4Ps targeted group in 30 municipal LGUs in Northern Luzon. By definition, eligible households are those categorized as "poor" by the DSWD's National Household Targeting System for Poverty Reduction (NHTS-PR), and have children 0-14 years old or a pregnant woman at the time of the household assessment. They have agreed to meet conditions set forth by the 4Ps such as children's regular attendance in schools and/or mothers' regular visits to health clinics. CCAGG will ensure that IP representatives are consulted throughout project preparation and implementation.

The Project's indirect beneficiaries include:

- *DSWD (Government CCT Program's implementing agency) will benefit from the increased involvement of beneficiaries in planning, implementation, monitoring and evaluation of the Program. This will improve its operations and lead to an increased level of compliance of the beneficiaries.*

- *Member organizations of NLCGG will benefit from the capacity building assistance that will be provided by the Project.*

- *The municipal and the communities at large are indirect beneficiaries, as a result of having a much more engaged local citizenry that will ensure greater local accountability, transparency and participation.*

B. Disclosure Requirements Date	
Environmental Assessment/Audit/Management Plan/Other:	
Was the document disclosed *prior to appraisal?*	N/A
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
Resettlement Action Plan/Framework/Policy Process:	
Was the document disclosed *prior to appraisal?*	N/A
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
Indigenous Peoples Plan/Planning Framework:	
Was the document disclosed *prior to appraisal?*	Yes
Date of receipt by the Bank	Yes
Date of "in-country" disclosure	03/15/2014
Date of submission to InfoShop	03/03/2014
Pest Management Plan:	
Was the document disclosed *prior to appraisal?*	
Date of receipt by the Bank	N/A
Date of "in-country" disclosure	N/A
Date of submission to InfoShop	N/A
*** If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.**	
If in-country disclosure of any of the above documents is not expected, please explain why:	

C. Compliance Monitoring Indicators at the Corporate Level (to be filled in when the ISDS is finalized by the project decision meeting)

OP/BP 4.01 - Environment Assessment			
Does the project require a stand-alone EA (including EMP) report?	Yes [ ]	No [ ]	N/A [X]

If yes, then did the Regional Environment Unit or Sector Manager (SM) review and approve the EA report?			
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?			
OP/BP 4.04 - Natural Habitats			
Would the project result in any significant conversion or degradation of critical natural habitats?	Yes [ ]	No [ ]	N/A [X]
If the project would result in significant conversion or degradation of other (non-critical) natural habitats, does the project include mitigation measures acceptable to the Bank?			
OP 4.09 - Pest Management			
Does the EA adequately address the pest management issues?	Yes [ ]	No [ ]	N/A [X]
Is a separate PMP required?	Yes [ ]	No [ ]	N/A [X]
If yes, has the PMP been reviewed and approved by a safeguards specialist or Sector Manager? Are PMP requirements included in project design? If yes, does the project team include a Pest Management Specialist?			
OP/BP 4.11 – Physical Cultural Resources			
Does the EA include adequate measures related to cultural property?	Yes [ ]	No [ ]	N/A [X]
Does the credit/loan incorporate mechanisms to mitigate the potential adverse impacts on physical cultural resources?			
OP/BP 4.10 - Indigenous Peoples			
Has a separate Indigenous Peoples Plan/Planning Framework (as appropriate) been prepared in consultation with affected Indigenous Peoples?	Yes []	No [X]	N/A [ ]
If yes, then did the Regional unit responsible for safeguards or Sector Manager review the plan?			
If the whole project is designed to benefit IP, has the design been reviewed and approved by the Regional Social Development Unit?	Yes		
OP/BP 4.12 - Involuntary Resettlement			
Has a resettlement plan/abbreviated plan/policy framework/process framework (as appropriate) been prepared?	Yes [ ]	No [ ]	N/A [X]
If yes, then did the Regional unit responsible for safeguards or Sector Manager review and approve the plan/policy framework/process framework?			
OP/BP 4.36 – Forests			
Has the sector-wide analysis of policy and institutional issues and constraints been carried out?	Yes [ ]	No [ ]	N/A [X]
Does the project design include satisfactory measures to overcome these constraints?			

Does the project finance commercial harvesting, and if so, does it include provisions for certification system?			
OP/BP 4.37 - Safety of Dams			
Have dam safety plans been prepared?	Yes [ ]	No [ ]	N/A [X]
Have the TORs as well as composition for the independent Panel of Experts (POE) been reviewed and approved by the Bank?			
Has an Emergency Preparedness Plan (EPP) been prepared and arrangements been made for public awareness and training?			
OP/BP 7.50 - Projects on International Waterways			
Have the other riparians been notified of the project?	Yes [ ]	No [ ]	N/A [X]
If the project falls under one of the exceptions to the notification requirement, has this been cleared with the Legal Department, and the memo to the RVP prepared and sent?			
What are the reasons for the exception? Please explain:			
Has the RVP approved such an exception?			
OP/BP 7.60 - Projects in Disputed Areas			
Has the memo conveying all pertinent information on the international aspects of the project, including the procedures to be followed, and the recommendations for dealing with the issue, been prepared	Yes [ ]	No [ ]	N/A [X]
Does the PAD/MOP include the standard disclaimer referred to in the OP?			
The World Bank Policy on Disclosure of Information			
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [ ]	No [ ]	N/A [X]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?			
All Safeguard Policies			
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [ ]	No [ ]	N/A [X]
Have costs related to safeguard policy measures been included in the project cost?			
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?			
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?			

D. Approvals

Signed and submitted by:	*Name*	*Date*
Task Team Leader:	Josef Trommer	03/06/2014
Environmental Specialist:		
Social Development Specialist		
Additional Environmental and/or Social Development Specialist(s):		
Approved by:		
Regional Safeguards Coordinator:	Peter Leonard	03/06/2014
Comments:		
Sector Manager:	Roby Senderowitsch	03/06/2014
Comments:		

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