Procurement Plan

I. General

1. Project Information

Country : India
Borrower : (i) Commissioner Revenue Administration, Disaster Management and Mitigation Dept., GoTN; (ii) Project Implementation Agency, Dept. of Revenue & Disaster Management, GoPy

Project Name : Tamil Nadu and Puducherry Coastal Disaster Risk Reduction Project (P143382)

2. Risk Rating for the Implementing Agencies: Substantial

II. Goods, Works and Non-Consulting Services

1. (a) Procurement Methods

<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>Procurement Method</th>
<th>Threshold (US$ Equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Open International (Goods)</td>
<td>Above 3 million</td>
</tr>
<tr>
<td>2</td>
<td>Open National (Goods)</td>
<td>Above 100,000 and up to 3 million (with NCB conditions) – as per para 3.3 and 3.4 of the Guidelines</td>
</tr>
<tr>
<td>3</td>
<td>Open International (Works)</td>
<td>Above 40 million</td>
</tr>
<tr>
<td></td>
<td>Open National (Works)</td>
<td>Above 100,000</td>
</tr>
<tr>
<td>3</td>
<td>Shopping (Goods/Works)</td>
<td>Up to 100,000 and up to 40 million</td>
</tr>
<tr>
<td>4</td>
<td>Open International (Non-consulting Services)</td>
<td>Above 3 million</td>
</tr>
<tr>
<td>5</td>
<td>Open National (Non-consulting Services)</td>
<td>Up to 3 million</td>
</tr>
<tr>
<td>6</td>
<td>Direct Contract</td>
<td>As per para 3.7 of Guidelines</td>
</tr>
</tbody>
</table>

(b) Prior Review Threshold: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>Category</th>
<th>Prior Review Threshold (US$ Equivalent)</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Works</td>
<td>Above US$ 10.0 million</td>
<td>All Contracts</td>
</tr>
<tr>
<td>1</td>
<td>Goods</td>
<td>Above US$ 1.0 million</td>
<td>All Contracts</td>
</tr>
<tr>
<td>2</td>
<td>Non-consulting Services</td>
<td>Above US$ 1.0 million</td>
<td>All Contracts</td>
</tr>
</tbody>
</table>

2. Pre-qualification: Bidders shall be pre-qualified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.

3. Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines): Not applicable
4. **Reference to (if any) Project Operational/Procurement Manual:** Under preparation

5. **NCB Provisions:**
   a) Only the model bidding documents for NCB agreed with the Bank (and as amended for time to time), shall be used for bidding;
   
b) Invitations to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
   
c) No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State;
   
d) Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
   
e) Extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/Employer);
   
f) Re-bidding shall not be carried out with reference to contracts subject to Bank prior review without the prior concurrence of the Bank. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
   
g) Two or three envelope system will not be used (except when using e-procurement system assessed and agreed by the Bank).

6. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)**

   Available on STEP
III. Selection of Consultants

1. (a) Procurement Methods

<table>
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<tr>
<th>Sl. No.</th>
<th>Procurement Method</th>
<th>Threshold (US$ Equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Selection based on Consultants’ Qualifications / Least Cost Selection</td>
<td>Up to 300,000</td>
</tr>
<tr>
<td>2</td>
<td>Single-source Selection of consulting firms</td>
<td>As per para 3.9 – 3.11 of Guidelines</td>
</tr>
<tr>
<td>3</td>
<td>Selection of Individual Consultants/ Sole Source Procedures for the Selection of Individual Consultants</td>
<td>As per Section V of Guidelines</td>
</tr>
<tr>
<td>4</td>
<td>Quality- and Cost-based Selection/ Quality-based Selection/ Selection under a Fixed Budget</td>
<td>For all other cases</td>
</tr>
</tbody>
</table>
| 5     | i. International Shortlist  
ii. Shortlist may comprise national consultants only |  
   Above US$ 800,000  
   Up to US$ 800,000 |

(b) Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

<table>
<thead>
<tr>
<th>Selection Method</th>
<th>Prior Review Threshold</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Competitive Methods (Firms)</td>
<td>Above USD 500,000</td>
<td>All Contracts</td>
</tr>
<tr>
<td>2. Individual</td>
<td>Up to US$ 200,000</td>
<td>All Contracts</td>
</tr>
</tbody>
</table>

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost up to US$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. Any Other Special Selection Arrangements: NA

IV. Other Requirements / Information (applicable for all procurements)

a) Even for Post Review cases, the inputs of Bank on TORs will be obtained by project.

b) The above thresholds are for the initial 18-month implementation period. Based on the procurement performance of the project, these thresholds may be subsequently modified.

c) The prior review thresholds will also be indicated in the procurement plan. The procurement plan will be subsequently updated annually (or at any other time, if required), and will reflect any change in prior review thresholds.

d) The World Bank will carry out an annual ex-post procurement review falling below the prior review thresholds indicated above. To avoid any doubts, the Bank may conduct at any time Independent Procurement Reviews (IPRs) of all the contracts financed under the grant.
### Procurement Plan

**India : Tamil Nadu and Puducherry Coastal Disaster Risk Reduction Project**

#### General Information

- **Country:** India
- **Bank's Approval Date of the Original Procurement Plan:** 2019-09-02
- **Revised Plan Date:** 2020-06-14

#### Project Details:

- **Project ID:** P145382
- **GPM Date:**
- **Project Name:** Tamil Nadu and Puducherry Coastal Disaster Risk Reduction Project
- **Loan / Credit No:** IDA / 52780
- **Executing Agency:** Project Management Unit

### Works

|-----------------------|-------------|------------------|-----------|-------------|--------|----------------|---------------------|------------------------|-----------------|---------------------------|-----------------------|-------------------------|--------------------------|---------------------------------|---------------------------------|-----------------------------------------------|----------------------|----------------------------------|----------------------------------------|-----------------------------|------------------|

### Goods

|-----------------------|-------------|------------------|-----------|-------------|--------|----------------|---------------------|------------------------|-----------------|---------------------------|-----------------------|-------------------------|--------------------------|---------------------------------|---------------------------------|-----------------------------------------------|----------------------|----------------------------------|----------------------------------------|-----------------------------|------------------|
### CONSULTING SERVICES

<table>
<thead>
<tr>
<th>Activity Reference No.</th>
<th>Component</th>
<th>Review Type</th>
<th>Method</th>
<th>Market Approach</th>
<th>Procurement Process</th>
<th>Process Description</th>
<th>Market Analysis</th>
<th>Project Status</th>
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<tbody>
<tr>
<td>IDA/PMU/2020-06-05</td>
<td>Safety &amp; Health (10th inf)</td>
<td>Post</td>
<td>Direct Selection</td>
<td>Direct</td>
<td>Direct Selection</td>
<td>2,218,077.00</td>
<td>Planned</td>
<td>2020-06-12</td>
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<tr>
<td>IDA/PMU/2020-06-06</td>
<td>Safety &amp; Health (10th inf)</td>
<td>Post</td>
<td>Direct Selection</td>
<td>Direct</td>
<td>Direct Selection</td>
<td>2,218,077.00</td>
<td>Planned</td>
<td>2020-06-12</td>
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### CONSULTING FIRMS

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<th>Market Approach</th>
<th>Contract Type</th>
<th>Estimated Amount (INR)</th>
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### INDIVIDUAL CONSULTANTS

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