### Procurement Plan

#### 1. Project information

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<th>Country</th>
<th>Borrower</th>
<th>Project Name</th>
<th>Credit No.</th>
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<tr>
<td>India</td>
<td>Government of India</td>
<td>Capacity Building for Urban Development Project</td>
<td>4997-IN</td>
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#### Project Implementing Agencies

i. Ministry of Urban Development (MOUD)  
ii. Ministry of Housing and Urban Poverty Alleviation (MoHUPA)

These agencies will be assisted by the Project Management Unit.

#### 2. Bank’s approval Date of the Procurement Plan

3. **Date of General Procurement Notice:** 30 September 2007 and 19 August 2012

4. **Period covered by this procurement plan:** Covers period of first 18 months. Procurement plan will be reviewed annually or as required for necessary modifications as the project progresses.

5. **Guidelines:** Procurement will be carried out in accordance with the World Bank’s "Guidelines: Procurement under IBRD Loans and IDA Credits" dated May 2004, revised October, 2006 and May 1, 2010 (Procurement Guidelines); and "Guidelines: Selection and Employment of Consultants by World Bank Borrowers" dated May 2004, revised October 2006 and May 01, 2010 (Consultant Guidelines).

This being a Technical Assistance project the procurement is limited to consulting services and goods. No procurement of works is envisaged under the project.

### Goods and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement. Prior review threshold as given below, may be changed by Bank during the implementation of the Project:

   **Goods, IT and non-consulting services** : USD 2 mn
ICB (Goods, IT and Non-consulting services): For contracts above USD 3 mn

Shopping (Goods): Applicable for items costing less than US $ 100,000 per contract after the proposal for procurements of goods and non-consultancy services under this method has been reviewed and agreed with the Bank.

Direct Contracting: This method will be followed by the Project after the proposal for procurements of goods and non-consultancy services under this method supported by adequate justification by the implementing agencies/ULB has been reviewed and agreed with the Bank.

2. Prequalification. NOT APPLICABLE

3. Reference to (if any) Project Operational/Procurement Manual: The Procurement Manual for CBUD.

4. Any Other Special Procurement Arrangements: [including advance procurement and retroactive financing, if applicable]

A procurement manual based on the procurement guidelines of the Bank has been reviewed by the Bank. Based on Bank’s ‘No Objection’, the manual has been finalized. All procurement under the project and formats to guide procurement and thresholds based methods for goods and services shall be guided by Manual. The manual describes the proposed systems along with procurement management and administrative mechanism as well as SBDs, bidding formats, protocols for planning & scheduling. In case of any inconsistency between the provisions under procurement manual and the provisions of the “Bank’s Guidelines, SRFP and SBDs,” the latter shall prevail.

5. Procurement Packages with Methods and Time Schedule: No procurement of works is envisaged under the project. For Goods as per Annexure III.

III. Selection of Consultants

1. Methods of Procurement: The following methods of selection will be adopted depending upon size and complexity of assignment, as defined in the Consultant Guidelines: A. Selection of Firms (a) Quality and Cost based Selection (b) Quality-Based Selection (c) Selection under a Fixed Budget (d) Least Cost Selection (e) Selection Based on Consultant’s Qualifications (f) Single Source Selection B. Selection of Individuals (g) Procedures set forth in paragraphs 5.2 and 5.3 of the Consultant Guidelines for the Selection of Individual Consultants (h) Selection of Individual Consultants on sole source basis as per the Procedures set forth in Paragraph 5.4 of Guidelines

2. Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants. Prior review threshold as given below, may be changed by Bank, during the implementation of the project:

Consultancy firms: USD 1 mn
Individual Consultants : USD 300,000

3. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than US $800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

4. **Any Other Special Selection Arrangements:** The Expression of Interest for consultancy services estimated to cost above US$300,000 equivalent per contract for firms shall be advertised in UNDB online and dg Market and other provisions of paragraph 2.5 of the Consultant Guidelines.

5. **Consultancy Assignments with Selection Methods and Time Schedule**

   As per **Annexure I (MoUD) and Annexure II (MoHUPA)**

IV. **Implementing Agency Capacity Building Activities with Time Schedule**

Submitted Separately

**Procurement Plan :**

As per procurement plan approved in STEP
## WORKS

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## CONSULTING SERVICES

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### INDIVIDUAL CONSULTANTS

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**IN-MOUD-14653-CS-QCBS**

- **Procurement of an agency (a firm or a consortium of eligible firms) to carry out assessments of Liveability Standards in 114 selected cities of India in 2017.**
  - **IDA / 49970**
  - **Capacity Building for Strengthened Urban Management and Support to roll out of National Urban Missions**
  - **Prior**
  - **Quality And Cost-Based Selection**
  - **Open International**
  - Estimated Amount: 2,524,615.00 (US$)
  - Actual Amount: 0.00 (US$)
  - Process Status: Canceled
  - Terms of Reference: 2017-05-09
  - Contract Completion: 2017-06-04

**IN-MOUD-18268-CS-QCBS**

- **Procurement of an agency (a firm or a consortium of eligible firms) to carry out assessments of Liveability Standards in 116 selected cities of India.**
  - **IDA / 49970**
  - **Capacity Building for Strengthened Urban Management and Support to roll out of National Urban Missions**
  - **Prior**
  - **Quality And Cost-Based Selection**
  - **Open International**
  - Estimated Amount: 2,524,615.00 (US$)
  - Actual Amount: 0.00 (US$)
  - Process Status: Canceled
  - Terms of Reference: 2017-06-16
  - Contract Completion: 2017-07-12

**IN-MOUD-27866-CS-QCBS**

- **Shortlisting of Consulting Firms For Assessment of Liveability in Selected Cities.**
  - **IDA / 49970**
  - **Capacity Building for Strengthened Urban Management and Support to roll out of National Urban Missions**
  - **Prior**
  - **Quality And Cost-Based Selection**
  - **Open International**
  - Estimated Amount: 3,000,000.00 (US$)
  - Actual Amount: 0.00 (US$)
  - Process Status: Pending Implementation
  - Terms of Reference: 2017-09-08
  - Contract Completion: 2018-03-22

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**Note:** The table and related text describe procurement activities for project management unit support, implementation support, and consulting firm assessments for various projects and components. Details include loan and credit numbers, component descriptions, contract types, estimated and actual amounts, process statuses, and contract completion dates.